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| (REV. 1-98) | PARTMENT OF COMMERCE PATENT AND TRADEN SK OFFICE | ATTORNEY'S DOCKET NOT REAL PROPERTY OF THE PRO | | | | | | |
| TRANSMITTAL LETTER | 0933-148P | | | | | | | |
| DESIGNATED/ELECTE | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | |
| CONCERNING A FILING | G UNDER 35 U.S.C. 37(PE) | № 09 / 4 & & 5 5 4 | | | | | | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| PCT/F199/00185 | 11 March 1999 NOV 10 19 | 9 三 0 11 March 1998 | | | | | | |
| TITLE OF INVENTION | 11 March 1999 100 | 11 March 1998 | | | | | | |
| TRANSFORME | MICROORGANISMS WITH IMPROVED N | PERTIES | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | |
| | OUGH, John; PENTTILA, Merja; RIC | | | | | | | |
| | o, Hans; TELEMAN, Anita; TOIVAR B Designated/Elected Office (DO/EO/US) the follo | | | | | | | |
| | | | | | | | | |
| 1. This is a FIRST submission of items conce | | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay | | | | | | | | |
| examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1). 4. A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date | | | | | | | | |
| | | onth from the earliest claimed priority date | | | | | | |
| 5. A copy of the International Application | 1 | | | | | | | |
| | ed only if not transmitted by the International E | Bureau). (Appln. enlcosed WO 99/46363) | | | | | | |
| b. has been transmitted by the Int | | | | | | | | |
| c. lis not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. 🔀 A translation of the International Application into English (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)). | | | | | | | | |
| a. are transmitted herewith (required only if not transmitted by the International Bureau). | | | | | | | | |
| b. have been transmitted by the International Bureau. | | | | | | | | |
| c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| d. Nave not been made and will not be made. | | | | | | | | |
| A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 | | | | | | | | |
| (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11. to 16. below concern document(s) or information included: | | | | | | | | |
| | | | | | | | | |
| 11 An Information Disclosure Statement | under 37 CFR 1.97 and 1.98./International Sea | arch Report with cited references | | | | | | |
| / | | | | | | | | |
| 14 An assignment document for recording | ag. A separate cover sheet in compliance with 3 | 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 12 A FIRST modiminant amondment | | | | | | | | |
| A SECOND AS SUPERIOR STREET | | | | | | | | |
| A SECOND or SUBSEQUENT prelin | minary amendment. | | | | | | | |
| 14. A substitute specification. | · | | | | | | | |
| 14 11 substitute specification. | | | | | | | | |
| 15. A change of power of attorney and/or | address letter. | | | | | | | |
| | | | | | | | | |
| 16. Other items or information: | | | | | | | | |
| 1. Sixteen (16) Sheets of Formal Draw | wings | | | | | | | |
| 2. Receipts of Deposit of Microorganism and Sequence Listing included in Publication | | | | | | | | |
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420 Rec'd PCT/PTO 1 0 NOV 1999.

| International preliminary examination fee (37 CFR 1.482) and to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | () | W423554 | INTERNATIONAL APPLICATION NO | | | ATTORNERS | | | |
|--|---|---|--|-------------------|--------------|-----------------|-------------|--|--|
| BASIC NATIONAL PEE_GOT CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search (27 CFR 1.452(2)) paid to USPTO and International Search Report not prepared by the EPO or IPO. \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.482) poid to USPTO but international preliminary examination fee (37 CFR 1.482) paid to USPTO but international preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). \$670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims satisfied provisions of PCT Article 33(1)-(4). \$670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims satisfied provisions of PCT Article 33(1)-(4). \$670.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | | i | | | |
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| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | | 2011 100 (37 CFR 1.443(a)(. | 2)) paid to USPTO |) \$760.00 | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | International prelimi | inary examination fee (37 (| CFR 1 482) paid to LICETTO | | Ī | | | | |
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| accoripanied by an appropriate cover sheet (37 CFR 1.21(h)). The assignment must be accoripanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED 1,412.00 Amount to be: refunded charged s A check in the amount of \$ 1,412.00 to cover the above fees is enclosed. D. Please charge my Deposit Account. No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 | TOTAL NATIONAL PROP | | | | | | | | |
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| Amount to be: refunded charged s A check in the amount of \$ 1.412.00 to cover the above fees is enclosed. D. Please charge my Deposit Account. No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 MURPHY, GERALDIM., FR NAME 28,977 | | | TOTAL FEES ENCL | OSED = | • | 1 412 00 | | | |
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| A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 MURPHY, GERALDM., R NAME 28,977 | | | ver the above lees is enclosed. | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 MURPHY, GERALDM., IR NAME | A duplicate copy | Deposit Account. No of this sheet is enclosed. | in the amount of \$_ | to co | ver the a | bove fees. | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 MURPHY, GERALDM., JR. NAME 28,977 | The Commissione overpayment to D | er is hereby authorized to c Deposit Account No. 02-24 | charge any additional fees whic | h may be requ | uired, or o | credit any | | | |
| Send all correspondence to: Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292 P.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 MURPHY, GERALDM., JR NAME 28,977 | NOTE: Where an ar | Opropriate time limit und | | s not been me | et, a petit | ion to revive (| 37 CFR | | |
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| F.O. Box 747 Falls Church, VA 22040-0747 (703)205-8000 SIGNATURE ### WAS A 12040-0747 MURPHY, GERALD M., JR. NAME 28,977 | Send all correspondence to: | | | | \sim | | ı | | |
| Falls Church, VA 22040-0747 (703)205-8000 MURPHY, GERALDIM., IR NAME 28,977 | P.O. Box 747 | ch & Birch, LLP or Cus | stomer No. 2292 | (| | |) [| | |
| (703)205-8000 MURPHY, GERALD M., JR. NAME 28,977 | | 040-0747 | | SIGNATOR | E/11/ | Waxa X | n I | | |
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